

General Assembly

Amendment

January Session, 2009

LCO No. 5999

HB0629805999SR0

Offered by:

SEN. MCKINNEY, 28th Dist. SEN. FASANO, 34th Dist. SEN. RORABACK, 30th Dist.

To: Subst. House Bill No. **6298**

File No. 204

Cal. No. 393

"AN ACT CONCERNING CERTAIN REVISIONS TO THE STATE CODES OF ETHICS."

After the last section, add the following and renumber sections and internal references accordingly:

3 "Sec. 501. (NEW) (*Effective from passage*) (a) In addition to its inherent 4 power as a branch of the legislature, the Connecticut state Senate has 5 the constitutional authority under the Connecticut State Constitution, 6 Article III, Section 13, to punish members for disorderly conduct, and, 7 with the consent of two-thirds of its members, expel a member. While 8 the constitution does not specify the grounds for expulsion or other 9 disciplinary action, such grounds may include conduct that impugns 10 the integrity of the house, reflects adversely on the Senate or otherwise 11 undermines public confidence in the institution of the Senate. 12 Although the constitutional power to discipline members may be 13 exercised summarily, it is the sentiment of the Senate that disciplinary 14 action should ordinarily be imposed only after a full investigation and

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15 opportunity for a hearing. For the public to have confidence in the 16 Senate, it must have confidence in its members as well as its 17 procedures and institutional mechanisms. The public interest, the 18 interests of accused members and the interests of the Senate as an 19 institution require that the extraordinary power to expel or publicly 20 discipline a member be exercised in a fair and consistent manner.

- (b) There is established a Bipartisan Senate Committee on Standards of Official Conduct that shall consist of six members, appointed as follows: Three majority caucus senators by the president pro tempore of the Senate, and three minority caucus senators by the president pro tempore upon the recommendation of the Senate minority leader. The president pro tempore shall appoint two cochairpersons of the committee, one of whom shall be appointed upon the recommendation of the Senate minority leader. Such appointments shall be made not later than ten days after the effective date of this section.
- (c) Said committee shall have cognizance of any official Senate action in response to any misconduct by any member of the Senate. "Misconduct" shall include any violation of state or federal election laws, any violation of the state code of ethics, any legal or ethical wrong or conduct that materially impairs the ability of the member to perform the duties of his or her office, or any conduct that impugns the integrity of the Senate or undermines public trust and confidence in the Senate. Nothing in this rule shall be construed to affect the powers and duties of the Office of State Ethics or the Citizen's Ethics Advisory Board.
- 40 (d) A quorum, consisting of not less than two members of each 41 caucus, shall be required to conduct any official business of the 42 committee. All committee decisions shall require four affirmative 43 votes.
- 44 (e) Upon the complaint of any person, signed under penalty of false 45 statement, or upon its own complaint, the committee shall conduct a 46 preliminary investigation into claims of any misconduct by any

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47 member of the Senate. Any committee member who is the subject of 48 such a complaint shall recuse himself or herself from any committee 49 action pertaining to such complaint. Such member shall be replaced, 50 for purposes of committee action on such complaint, in the same 51 manner in which such member was appointed.

- (f) Any such preliminary investigation shall be confidential and not subject to disclosure. Upon the conclusion of any preliminary investigation, the committee shall vote to either (1) dismiss the complaint, or (2) make a finding of probable cause that misconduct has occurred. Upon a finding of probable cause by the committee, all information relating to the preliminary investigation shall, to the extent allowed by state and federal law, be made available to the public.
- 60 (g) The committee shall undertake a full investigation only after a 61 finding of probable cause. All information relating to a full 62 investigation shall, to the extent allowed by state and federal law, be 63 made available to the public. All proceedings relating to a full 64 investigation shall be open to the public. Upon the completion of a full 65 investigation, the committee shall report its findings 66 recommendation in the form of a resolution to be voted upon by the 67 Senate. Such recommendation shall include one of the following: (1) 68 Expulsion, (2) censure, (3) reprimand, or (4) no action. The full Senate 69 shall vote on any such resolution not later than ten days after the 70 committee reports out any such resolution.
- 71 (h) The subject of any such complaint or investigation may be 72 represented by counsel.
 - (i) Not later than ninety days after the effective date of this section, the committee shall adopt rules and procedures to govern its proceedings. Such proposed rules shall include, but not be limited to: (1) Standards, rules and methodology for conducting preliminary and full investigations, respectively, and (2) criteria for the making of a recommendation of expulsion, censure, reprimand and no action,

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